



The Chinese Finance Association

全美華人金融協會

The Chinese Finance Association (TCFA)

- **Mission:**

- ▶ TCFA is a non-profit organization aimed at facilitating the exchange of ideas, knowledge and information on education, research and practice in finance and related areas between the US and China.
- ▶ TCFA seeks to bring together the collective wisdom and expertise of scholars and professionals active in the field of finance in an effort to help the development of capital markets in China.
- ▶ TCFA further seeks to serve as the central venue to service its members in their professional development

- **History:** Founded in U.S. in 1994, TCFA is headquartered in New York, with local chapters in New York, Boston, San Francisco, Los Angeles, Chicago, Washington D.C., London, Hong Kong, Beijing and Shanghai

- **Members:** TCFA have 2,000 members worldwide. Professions include major investment banks, money management firms, universities, government regulatory bodies and other financial service institutions



For more information about TCFA, please visit our website: www.tcfaglobal.org



TCFA's Advisory Board Includes Prestigious Leaders

- **Zhou, Xiaochuan**, *Governor*, The People's Bank of China
- **Ma, Weihua**, *Chairman and CEO*, China Merchants Bank
- **Neoh, Anthony**, *Former Chairman*, Hong Kong Securities and Futures Commission
- **Wu, Jinglian**, *Senior Research Fellow*, The Development Research Center of the State Council
- **Zhang, Xin**, *Financial Stability Bureau* The People's Bank of China
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- **Chen, Zhiwu**, *Professor*, Yale School of Management
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- **Huang, Chi-Fu**, *CEO*, Platinum Grove Asset Management
- **Guo, Dajiang**, *Executive Director*, CICC
- **Hu, Jian**, *Managing Director*, Global Head of CDO and Derivatives Surveillance and Research, Moody's
- **Li, David**, *Head of Risk*, CICC
- **Qian, Jun**, *Executive Director*, Morgan Stanley
- **Xuan, Changneng**, *China Head*, JC Flowers
- **Li, Bo**, *Legal Affairs Department*, The People's Bank of China



TCFA's Programs and Activities

TCFA sponsored a broad range of activities such as primary research projects, industry specific translations, publications and international conferences.

■ **TCFA has successfully organized Fourteen Annual Conferences**

- ▶ 2009: *The After Math of Global Financial Crisis*, New York City
 - Featured discussions of key contributors to the global financial crisis and examinations of the effectiveness of the various policy responses. Keynote speakers include Robert Rubin, Isaac Souede and Sanford J. Grossman.
- ▶ 2008: *The Global Credit Crisis and Its Impact on China*, New York City
 - Over 300 professionals, academics and MBA, PhD students attended the conference. Media coverage included CCTV, Phoenix TV, China News Service, China Business News, 21st Century Business Herald
- ▶ 2007: *China and Global Financial Markets: Challenges and Opportunities*, New York City
 - Featured presentations and panel discussions by many highly distinguished guest speakers which included Paul Bennett, Chief Economist of NYSE, and Dr. Jiang Ping, Managing Director, SAC Capital
- ▶ 2006: *Economic Fundamentals and Stock Market Anomalies in a Global Context*, Boston
 - Featured speakers included Lawrence H. Summers, Professor of Economics, former President of Harvard University and former Secretary of Treasury of the United States

■ **TCFA's regular member activities include**

- ▶ Financial Analytic Workshop and Seminars
- ▶ Employment Assistance Program
- ▶ Best Paper Award Program
- ▶ Featured Receptions and Seminars



TCFA's Sponsors

Our continued growth and success rely on consistent support and generous contribution from our sponsors



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华夏基金



Harvest Fund Management
嘉实基金



China International Capital Corporation
中金国际



Resources Global Professionals



China Merchants Fund Management
招商基金



The Hagan-Ricci Group

TCFA California Chapter

California Chapter (Bay Area, Los Angeles Area) – Birth place of TCFA, Circa 1994

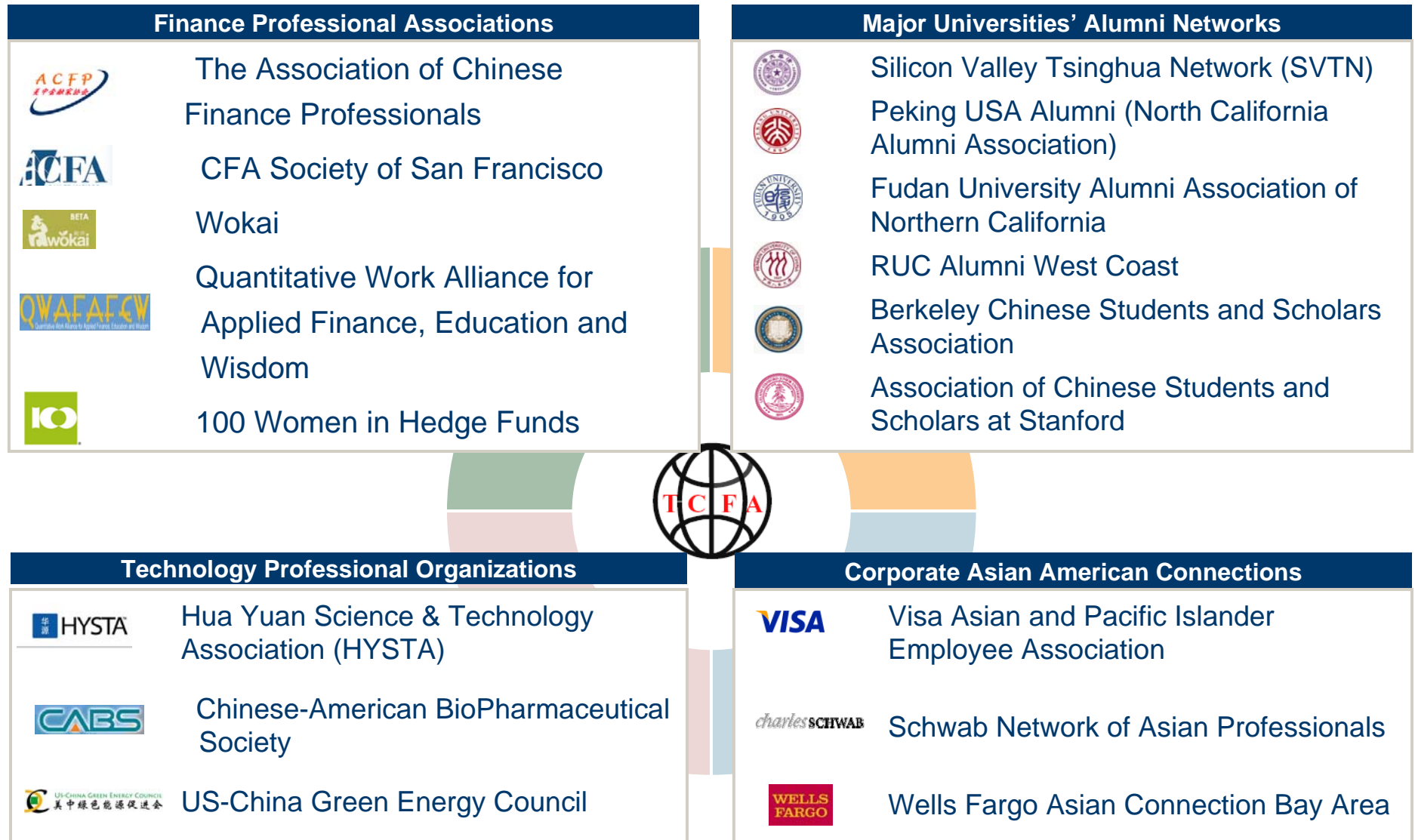
- **Member:** Over 200 active members
 - ▶ Professional 85%, Student 15%
 - ▶ PhD 30%, Master 60%, Others 10%
- **Organization:**
 - ▶ Chair: Zili Zhang, *PhD, Senior Vice President, American Century Investment*
 - ▶ Co-Chair: Guang Guo, *PhD, Vice President, BlackRock*
 - ▶ 9 Directors: covering operation, finance, marketing, public relations, sponsorship and program development

Our Members Come from a Variety of Financial Institutions, Hedge Funds and Universities



California Chapter's Local Alliance

California Chapter Maintains a Strong Alliance with Other Local Organizations



Featured TCFA California Chapter Recent Events

China-US Financial Market Bridging Program

- **Feb, 2010:** Chinese New Year Reception: ETF and Quantitative Active Investment in China.
-- Keynote Speaker: Dr. Zheng Wang, Boseria Asset Management Co, China
- **Nov, 2009:** CICC-led China Domestic Fund Management Senior Executive Delegate Bay Area Visit.
- **Jun, 2009:** Dr. Bin Qi's Visit to Bay Area, Director, Research and Strategy Center, China Securities Regulatory Commission



Advanced Financial Study/ Practice Seminar Program

- **Oct, 2009 :** Seminar on "Credit Intermediation"
-- Dr. Jing Zhang, *Managing Director*, Moody's Analytics
- **Aug, 2009 :** Seminar on "Inflation Risk and Active Risk Management"
-- Dr. Kent Smetters, *Associate Professor*, the Wharton School
- **Jun, 2009 :** Seminar on "Stress Testing and Financial Stability"
-- Dr. Hao Zhou, *Senior Economist*, Federal Reserve Board

Community Service Program

- **April, 2010 (upcoming) :** Stanford University Career Development Center –TCFA Joint Event on China Capital Market
- **Dec, 2009:** HYSTA-TCFA Joint Event on China IT Outsourcing Industry
- **Dec, 2009:** CABS-TCFA Joint Event on Financial Planning

Social Networking Program

- **Dec, 2009:** Holiday Mixer
- **Aug, 2009:** Mid-year Networking BBQ



How to Join and Contact TCFA (CA)?

Register at TCFA website and Join TCFA Global Yahoo Group

Please go to www.tcfaglobal.org and click 'Register' button

Join TCFA CA Communication Google Group

Email tcfa-ca-communication+subscribe@googlegroups.com to join;

CA Events Photo Gallery Weblink and Email Contact

<http://picasaweb.google.com/tcfa.ca.1994>

tcfa.ca.1994@gmail.com

